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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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| Examiner Initials* | Cite No.[1] | Document Number Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No.[1] | Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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**IDS (Citation) by Applicant (346 References)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: June 12, 2009 Electronic Signature for Christina M. Sperry: /Christina M. Sperry/Reg. No. 47,106

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Sheet 2 of 20

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| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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Sheet 3 of 20

| *Complete if Known* | |
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| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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Sheet 4 of 20

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|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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| Examiner Initials* | Cite No.[1] | Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
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| | BI1** | WO-03096885 | 11-27-03 | ONUX MEDICAL, INC | | |
| | BJ1** | WO-03099376 | 12-04-2003 | Transneuronix Inc et al. | | |
| | BK1** | WO-03105917 | 12-24-2003 | Scimed Life Systems Inc | | √ |

| Examiner Signature | /Julian Woo/ | Date Considered | |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 7 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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*(Use as many sheets as necessary)*

Sheet 8 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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Sheet 9 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 10 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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Sheet 11 of 20

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| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 12 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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| Examiner Initials* | Cite No.[1] | Document Number: Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Signature | /Julian Woo/ | Date Considered | 08/08/2009 |
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Sheet 13 of 20

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| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
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Sheet 15 of 20

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|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

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|---|---|---|---|
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Sheet 16 of 20

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|---|---|---|---|
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|---|---|---|---|
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|---|---|---|---|
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| | CE2** | Thor KBA et al., Reappraisal of the flap valve mechanism in the gastroesophageal junction. A study of a new valvuloplasty procedure in cadavers. *Acta Chir Scand* 1987 Jan. 153(1):25-8. | |
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| | CH2** | Watson, et al., "Comparison of anterior, posterior and total fundoplication using a viscera model," Diseases of the Esophagus, 10:110-114 (1997). | |

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Sheet 20 of 20

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,633-Conf. #4181 |
| Filing Date | October 2, 2003 |
| First Named Inventor | Jeffrey C. Cerier |
| Art Unit | 3773 |
| Examiner Name | J. W. Woo |
| Attorney Docket Number | 100873-0283 END6430USCIP5 |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | CI2** | Westbrook, et al., "Posterior Surgical Approaches to the Rectum," Annals of Surgery, 195:677-691 (1982). | |
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